

IAPB Rec'd PCT/PTO 08 DEC 2005

# METHOD OF CONNECTING A CLIENT RUNNING ON A COMPUTING DEVICE TO A SERVER RUNNING ON A DIFFERENT COMPUTING DEVICE

5

## BACKGROUND TO THE INVENTION

### 1. Field of the Invention

This invention relates to a method of connecting a client running on a computing device to a server running on a different computing device.

10

### 2. Description of the Prior Art

When a client (i.e. a program making a request for a service) wishes to connect to a server (i.e. the program that can supply the requested service) over a network, it needs to uniquely identify the service. The standard approach relies on the use of 'port numbers'.

15 In essence, a port number is a logical address: when one program communicates with another program on a different computer, it specifies the port number of that program in each data transmission so that its messages can be properly received by the correct program. For instance, HTTP normally uses port number 80: all HTTP messages from a client are uniquely identified by the client specifying port 80.

20

This approach requires manual configuration (i.e. the developer of the client program has to manually select a port number, with ICANN designating the so-called 'well-known' port numbers 0 to 1023; registered ports running from 1024 to 49151 and private ports running from 49152 to 65535). It also risks clashes between servers (i.e. if two  
25 developers choose the same port number). Nevertheless, this approach is workable for services provided by OS or device manufacturers because those port numbers can be fixed when a device is manufactured. However, Independent Software Vendors (ISVs) cannot reserve these port numbers and so the conventional approach makes it difficult for ISVs to create new services since they face the risk of port number allocations being  
30 duplicated, with the risk of clashes arising.

## SUMMARY OF THE PRESENT INVENTION

In an implementation of the invention, services installed on a computing device register their published name, which conforms to a structured naming convention, such as reversed domain information, with a 'service broker' on that device. The service broker uses a single well-known port number address. When an external client, connected to the computing device that has a service broker, wants to use a service on that computing device, it sends a message to the service broker using the well known port number. The message specifies the name of the desired server and requests that the service broker inform it of the appropriate connection point (e.g. port number) to use. There is no dependency on port numbers or unstructured and arbitrary naming conventions.

Because service names are made unique by using a structured (and preferably standard and open) naming convention, e.g. by pre-pending the service name with reversed domain information, new connectivity services can be added to devices without having to change the existing configuration of the device. Address clashes are avoided as long as new services use the service broker and a consistent naming convention.

If a service is registered with the name specified by a client to the service broker, then the service broker starts the service. The service obtains a connection point by some means and informs the service broker of the connection point address (for TCP/IP this would be a port number, other transport mechanisms (e.g. Bluetooth, serial, USB, IrDA etc.) would have other addressing mechanisms). The service broker then informs the external client of the connection point address of the service. The client then communicates directly with the server, unlike some prior art approaches, where the intermediary stays in position. With this mechanism the only statically allocated address is that of the service broker.

If a service is required more than once, the server will not be re-started: instead the service broker uses cached address information.

When services register with the service broker, they may register a version number to indicate the version of the service that they are providing (and, optionally, other information and how they can be started). The external client can request a specific version of a named service or it can omit the version, in which case the service broker will start the highest version available of the named service. In either case, the service broker informs the remote client of the version of the service that has been started. This allows a remote client to inter-operate with a range of devices and potentially handle different versions of services.

- 10 The service broker can serve external clients that are PC's or other computers connected by a local link such as cable, Infra-Red or short distance radio (such as Bluetooth) or by a remote link such as a network data connection.

- 15 The service broker can provide authentication information such that only authenticated external clients can access services.

- 20 The service broker does not require administration, it is extensible and resolves port clashing by using names instead of numbers and names can be easily made unique by using internet domain information.

- It provides mechanisms for handling and publishing service version numbers and thus allows one client to handle a range of devices.

- 25 SymbianOS from Symbian Limited deploys an implementation of the present invention. Examples of service names, originating from within Symbian Limited, that conform to the structured naming convention include the following:

- com.symbian.scrfs; where 'scrF' is the Symbian Connect Remote Filing System.  
com.symbian.swinstall - the remote software install service  
30 com.symbian.syncmlinit - the syncML initiation service

An example of a third-party service might be  
uk.co.ian\_mcdowall.pim for a PIM interface service owned by ian\_mcdowall.co.uk

or

com.big\_company.sales\_manage for a sales management service provided by  
5 big\_company.com

## **Appendix 1**

### **Introduction**

#### **Purpose and Scope**

The purpose of this **Appendix 1** is to describe the functionality provided by the Service  
5 Broker.

The Service Broker provides a mechanism for remote clients to start various service  
providers (socket servers using the TCP/IP protocol suite and communicating via a  
protocol that must have been defined between client and server) on the device and to  
10 retrieve the port number for these services. It also manages connection authentication,  
between the device and a remote client. Remote clients are requested to authenticate the  
connection before starting named services on the device.

#### **Overview Context**

**Figure 1** shows the Service Broker in its architectural context. The Bearer Abstraction  
15 Layer (BAL) running on the remote client connects to the server socket provided by the  
Service Broker. The remote client is usually a Windows PC but it can be any device  
capable of establishing a TCP/IP connection to the device.

An application on the remote client requests the service port number from the BAL.  
20 The BAL requests this port number from the Service Broker via a messaging protocol.  
The name of the service is specified by the client to the BAL and by the BAL to the  
Service Broker.

The Service Broker attempts to start the Service Provider (if not already running) and to  
25 retrieve its port number. This is achieved via the Client Server API.

Once the Service Provider has been started, the Service Broker transmits the port  
number to the BAL, which in turn communicates it to the client application. The  
client application can then establish a direct connection to the Service Provider.

30

#### **Functionality**

Functionality for the Service Broker is expressed as a set of use cases, which are triggered  
by the external interfaces. Interfaces are just referred to as actors in this section.

For brevity, the Service Broker is referred to as the system in the rest of this section.

### Actors

- 5 The following actors can be identified:
- The remote client, sending requests on the socket interface and receiving responses to its requests. These requests are formatted according to the messaging protocol.
  - The Service Provider, connecting to the client server interface and communicating a port number for each service it supports.
- 10 • The password provider DLL.

### Use cases

**Figure 2** illustrates top-level use cases, which comprise the entire functionality of this system. These use cases are described in the following sections.

#### 15 Startup

<b>Pre-condition</b>	The system is not running.
<b>Description</b>	The system is started up, this is usually done during the device startup procedure.
<b>Normal Execution Flow</b>	<p>Execute “Read Registration Files” .</p> <p>Read the bearer configuration file. This file specifies if connections over a certain physical medium require authentication or can be considered automatically authenticated. In order to authenticate a connection, device and PC must supply an identical password. This is described more in “Authenticate Connection”. If a bearer is automatically authenticated (e.g. this might be the case for Serial and USB bearers) then there is no need to execute “Authenticate Connection” for connections over that bearer. Connections over bearers that do require authentication need to execute “Authenticate Connection” each time the physical link is established.</p> <p>Start the client server interface.</p>

	Open the server socket on the specified port.
<b>Post-condition</b>	The system is now running.
<b>Exceptions</b>	<p>If the socket connection cannot be opened, log an error and terminate.</p> <p>If the server cannot be started, log an error and terminate.</p> <p>If the registration directory cannot be accessed, log an error and terminate.</p>

### Read Registration Files

<b>Pre-condition</b>	<p>The system is starting up or a new file has been added to, updated or removed from the registration area. This area is constituted of two directories. Both directories have the same path but are located on different drives with one of them being the ROM drive. The files contained in the registration directory located on the ROM drive are loaded only at startup and before any other registration files. Only the registration directory on the non-ROM drive is monitored for further file updates.</p>
<b>Description</b>	<p>Registration files contain the binding between service names (specified by the clients on the remote socket) and the information needed to start up a process that implements those services. Registration files can be updated, added to or removed from the non-ROM registration directory at any time.</p> <p>The information read from registration files is stored in a table, the <u>services table</u>. There is one entry for each service containing the following:-</p> <ul style="list-style-type: none"> <li>– Service Name;</li> <li>– Process Name (the name of the process that implements this service);</li> <li>– Exe Location (full path and file name for the exe file implementing the process).</li> <li>– Command line arguments (the command line arguments to be used when starting the process).</li> <li>– Service Version (minor, major and build number).</li> <li>– Registration file name (the name of the registration file that</li> </ul>

	<p>specifies the service name: This is not specified in the file itself but it is stored in the table because a service can be overwritten later on only if it is in the same registration file. Also, if registration files are deleted, the services corresponding to this file will be removed from the table).</p>
<b>Normal Execution Flow</b>	<p>If starting up scan the ROM registration directory.</p> <p>Scan the non-ROM registration directory.</p> <p>For every xml file that has been removed:</p> <p>The services belonging to the file are removed from the table.</p> <p>For every file that has been added or updated:-</p> <p>Open the file and parse it.</p> <p>Store the service into the services table. There can be more than one service defined in a registration file.</p> <p>Close the file</p>
<b>Post-condition</b>	The services table is up to date with the registration directory.
<b>Exceptions</b>	<p>A registration file cannot be opened, in this case log an error and ignore the registration file.</p> <p>The syntax of a registration file is wrong, in this case log an error and ignore the registration file.</p> <p>A registration file specifies a service name, which is already registered. In this case, only update the services table if the registration file is the same, otherwise log an error and ignore this service.</p> <p>A registration file specifies a service name more than once. In this case override the previous service information, only keep the one read last. However, log an error message.</p>

### Shutdown

<b>Pre-condition</b>	The System is running.
<b>Description</b>	The System is asked to terminate, this is usually done during the device



	shutdown procedure.
<b>Normal Execution Flow</b>	<p>Stop the client server interface disconnecting any active sessions.</p> <p>Disconnect all the connected remote clients. Close the server socket.</p> <p>Terminate execution.</p>
<b>Post-condition</b>	The system has terminated.
<b>Exceptions</b>	None.

### Accept Remote Client Connection

<b>Pre-condition</b>	“Startup” has completed successfully.
<b>Description</b>	Accept a connection from a remote client.
<b>Normal Execution Flow</b>	<p>Create a new client socket.</p> <p>Receive messages on the socket.</p>
<b>Post-condition</b>	There is a new client socket.
<b>Exceptions</b>	<p>The client socket cannot be created. In this case the client connection request is not accepted and an error is displayed.</p> <p>An unrecognised message is received, in this case discard the message and log an error.</p>

### Get Device Information

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully.
<b>Description</b>	A “Get Device Information” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header.</p> <p>Retrieve the device information (from interfacing to the HAL).</p> <p>Compose “Device Information” and send it to the client.</p> <p>The message ID of the outgoing message must be the same as the one</p>

	received in the incoming message.
<b>Post-condition</b>	The message has been handled.
<b>Exceptions</b>	The device information cannot be retrieved for some reason. In this case, compose an error message and send it to the client. The error code is “Internal Failure”.

### Get Version

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully.
<b>Description</b>	A “Get Version” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header.</p> <p>Compose “Device Version” and send it to the client.</p> <p>The message ID of the outgoing message must be the same as the one received in the incoming message.</p>
<b>Post-condition</b>	The message has been handled.
<b>Exceptions</b>	None.

### Authenticate Connection

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully. The connection is not authenticated.
<b>Description</b>	An “Authenticate Connection” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header and generate a random number.</p> <p>Send the random number to the client in a “Random Value” message. Wait for a “Password” message from the client.</p> <p>When “Password” is received from the client communicate the random number to the password provider DLL and retrieve a hash of the password and the random number. Compare this hash with the one received from the remote client in the “Password” command.</p>

	If the two hashes are the same then send a “Connection Authenticated” message to the client. This indicates that any connection received from the client (from the same IP address and on the same physical bearer) should be considered authenticated until the physical link is dropped.
<b>Post-condition</b>	The connection is authenticated.
<b>Exceptions</b>	<p>If the hash supplied by the client is not the same as the hash created by the password provider DLL send an error message to the client, with code “Authentication Failed”.</p> <p>If the hash cannot be retrieved from the password provider DLL, send an error message to the client with code “Authentication Failed” and log an error message as well.</p>

### Get Services

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully. The connection is authenticated.
<b>Description</b>	A “Get Services” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header.</p> <p>Retrieve the list of services from the services table.</p> <p>Compose “Services” and send it to the client.</p> <p>The message ID of the outgoing message must be the same as the one received in the incoming message.</p>
<b>Post-condition</b>	The message has been handled.
<b>Exceptions</b>	<p>No services are available. In this case return a “Services” message with an empty list.</p> <p>The connection is not authenticated, in this case return to the client an error message with code “Not Authenticated”.</p>

**Get Service Version**

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully. The connection is authenticated.
<b>Description</b>	A “Get Service Version” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header and extract the service name from the message data.</p> <p>Retrieve the version supported for this service from the services table.</p> <p>Compose “Service Version” and send it to the client.</p> <p>The message ID of the outgoing message must be the same as the one received in the incoming message.</p>
<b>Post-condition</b>	The message has been handled.
<b>Exceptions</b>	<p>The specified service is not in the services table. In this case send an error message to the client with error code “Not Found”.</p> <p>The connection is not authenticated, in this case return to the client an error message with code “Not Authenticated”.</p>

**Start Service**

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully. The connection is authenticated.
<b>Description</b>	A “Start Service” message has been received from the remote client.
<b>Normal Execution Flow</b>	<p>Read the message header and extract the service name. Also extract a timeout value.</p> <p>Check if the process associated with this service (the Service Provider) is already running. Use the information stored in the services table to do this.</p> <p>If the process is not running start the process and a timer using the timeout extracted above.</p> <p>When the Service Provider registers itself, send its port number to the client in a “Service Started” message and stop the timer.</p>

	<p>At the expiry of the timer send an error to the client with code “Failed to Register”.</p> <p>The message ID of the outgoing message must be the same as the one received in the incoming message.</p> <p>If the Service Provider is already running then compose “Service Started” and send it to the client. The port number is stored in the services table.</p> <p>The message ID must be the same as the one received in the incoming message.</p>
<b>Post-condition</b>	The message has been handled and the requested Service Provider is running.
<b>Exceptions</b>	<p>The specified service is not in the services table. In this case send an error message to the client with code “Not Found”.</p> <p>The process cannot be started. In this case send an error message to the client with error code “Cannot Start”.</p> <p>When registering itself, the process may communicate a failure code instead of a port number. This can be done via the API. In this case send an error message to the client with error code “Cannot Start” and with extended error code equal to the error received from the process.</p> <p>The connection is not authenticated, in this case return to the client an error message with code “Not Authenticated”.</p>

#### Disconnect remote client

<b>Pre-condition</b>	“Accept Remote Client Connection” has completed successfully. The client closes the socket or an unrecoverable error occurs or execution is terminated for the entire system.
<b>Description</b>	The client connection is closed.
<b>Normal Execution Flow</b>	Close the socket connection and release any resources.
<b>Post-condition</b>	The client has been disconnected.

<b>Exceptions</b>	None.
-------------------	-------

### Accept Service Provider Connection

<b>Pre-condition</b>	“Startup” has completed successfully.
<b>Description</b>	A new client session is established.
<b>Normal Execution Flow</b>	Establish a new session with the client.
<b>Post-condition</b>	A new client is connected to the Client Server Interface.
<b>Exceptions</b>	None.

### Register Service Provider

<b>Pre-condition</b>	“Accept Service Provider Connection” has completed correctly. “Start Service” may be ongoing , but not necessarily.
<b>Description</b>	The Service Provider communicates the port number corresponding to the specified service name.
<b>Normal Execution Flow</b>	<p>Retrieve the service name and port number from the Service Provider.</p> <p>Verify that the executable file of the client (full path and file name) is the same as the exe in the services table for the specified service name.</p> <p>Store the port number in the services table.</p> <p>If one or more client requests are pending for this service, send the service port number to the clients for all the pending requests; note however that a client may only have one pending request at a time.</p>
<b>Post-condition</b>	The port number has been added to the services table.
<b>Exceptions</b>	<p>The service name is not found in the table, in this case return an error. This can happen if the service name has no registration file associated with it.</p> <p>The service name already has a port number assigned to it. In this case override the port number with the new value and log an error. This can</p>

	<p>happen when a named service is started, then it terminates, then it is started again and so forth.</p> <p>Instead of communicating a port number, the Service Provider communicates a startup failure code. This is possible using the API. In this case update the services table with the failure code and set the port number to 0 (invalid port number).</p>
--	---

### Disconnect Service Provider

<b>Pre-condition</b>	“Accept Service Provider Connection” has completed successfully.
<b>Description</b>	The client session terminates.
<b>Normal Execution Flow</b>	Close the client session and release any resources associated with it (except for the information stored in the services table).
<b>Post-condition</b>	The client has been disconnected from the client server interface.
<b>Exceptions</b>	None

### Glossary

- 5 The following technical terms and abbreviations are used within this document.

<b>Term</b>	<b>Definition</b>
API	Application Programming Interface
BAL	Bearer Abstraction Layer
HAL	Hardware Abstraction Layer
IP	Internet Protocol
TCP	Transport Control Protocol